



Almost total protection from age-related macular degeneration by haplotypes of the Regulators of Complement Activation

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ABSTRACT

Age-related macular degeneration (AMD) is the leading cause of blindness in developed countries. It has been proposed that the polymorphism encoding Y402H (T1277C) in the complement factor H gene (CFH) is one of the main determinants of disease. We genotyped the polymorphism at a number of loci in the region encompassing the Regulators of Complement Activation (RCA) on chromosome 1, including T1277C SNP, in 187 patients and 146 controls. Haplotypes have been classified as protective (P) or susceptible (S) with respect to AMD. This included the identification of an S haplotype with a T at 1277. The results show that no single locus should be assumed to be directly responsible for AMD, but rather argue for the existence of RCA haplotypes, which can be assigned meaningful predictive values for AMD. We conclude that the critical sequences are within a region 450 kb centromeric to 128 kb telomeric of CFH.

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1. Introduction

Polygenic diseases, such as Age-Related Macular Degeneration (AMD), have proven difficult to understand, at least partly because of the complexity of the interactions between linked and unlinked genes, the influence of noncoding polymorphisms affecting gene regulation, variable age and sex related penetrance, and diverse environmental factors [1–3]. These problems are compounded when the pathogenesis is poorly understood.

AMD is one polygenic disease which appears to be amenable to progress. There are at least several gene clusters involved, but interestingly most (complement factor H (CFH), complement component 2 (C2), complement factor B (CFB), complement component 3 (C3), mannose-binding lectin 2 (MBL2)) may relate to complement activation within vessels and may thus be understood in terms of functional

interactions and quantitative effects [4–12]. At least some risk factors are well known and contribute to a final common pathway involving inflammation and obstruction of terminal vasculature. Effective therapy with anti vascular endothelial growth factor (VEGF) is available [13] although genetic assays to identify those requiring treatment would be helpful both clinically and financially.

An unresolved series of problems relate to the multiplicity and complexity of the genetic markers in the vicinity of CFH and to the presence of some which may or may appear to contribute to either susceptibility or protection. As one example of the confusion, Spencer et al. [5] report contradictory results and suggest the use of the term “inverse associations” until the pathophysiology is known. The same group also discussed the difficulties resulting from multiple comparisons and from inferring rather than observing haplotypes [14].

A proven approach to evaluating disease associations has been developed through studies of the Major Histocompatibility Complex (MHC) [15–21]. The identification of ancestral haplotypes (AH) provides a means of studying the extensive coding and noncoding sequences which have been selected through human evolution. These are the sequences which are likely to be most important in function and disease. Ancestral haplotypes contain genomic structure, insertions and deletions (indels), copy number variations (CNVs), and regulatory elements as well as single nucleotide polymorphisms (SNPs) and microsatellites. Thus potential complexity can be simplified resulting in

Abbreviations: AH, ancestral haplotype; AMD, age-related macular degeneration; C2, complement component 2; C3, complement component 3; CFB, complement factor B; CFH, complement factor H; CNV, copy number variation; indels, insertions or deletions; MBL2, mannose-binding lectin 2; MHC, major histocompatibility complex; P, protective haplotype; RCA, Regulators of Complement Activation; S, susceptible haplotype; VEGF, vascular endothelial growth factor.

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Table 1
Frequency and size of FH1 alleles in 187 AMD patients and 146 controls.

Size (bp)	FH1 allele	Allele frequency (%)
530	3	0.3
533	4	0.5
535	5	0.2
537	6	1.5
541	7	1.8
543	8	3.6
545	9	5.4
547	10	5.56
549	11	10.1
551	12	5.56
553	13	6.0
555	14	6.3
557	15	4.8
559	16	12.9
562	17	3.9
564	18	10.2
566	19	2.1
568	20	5.56
570	21	0.9
572	22	1.0
574	23	0.2
576	24	0.6
580	25	0.3
600	26	0.2
605	27	1.0
609	28	1.95
613	29	1.8
617	30	1.5
621	31	2.1
625	32	1.8
628	33	0.4

a powerful strategy for examining genetic interactions in determining susceptibility to disease.

Recently [22], we have characterised haplotypes of the beta block of the RCA [23]. Here, we show that there are many more haplotypes than described to date and that these can be classified as protective (P) or susceptible (S) with respect to AMD. The P and S haplotypes differ in several respects suggesting some explanations for the disease association.

A potential clinical application is suggested by the fact that the PP genotype is present in only 2% patients with AMD but 24% of controls. Thus, a PP genotype may be useful in excluding the need for intensive follow-up and treatment.

2. Results and discussion

2.1. The polymorphic FH1 locus as the basis for classifying ancestral haplotypes

The FH1 locus is highly polymorphic, with more than 30 alleles found in the 333 subjects typed to date. The more frequent alleles, designated 7 through to 24, differ in amplicon length by approximately 2 base pairs such that allele 7 is 541 bp, 8 is 543 bp, 9 is 545 bp, 10 is 547 bp etc., as shown in Table 1.

To facilitate comparisons, the FH1 genotypes of all patients and controls are displayed in a percentile database (Fig. 1). Subjects are listed in approximate order of the gene frequency when the two groups are combined. Patients with AMD are listed on the left together with their clinical type and age. Controls are on the right. Most subjects have 2 different alleles; those with only one are assumed to be homozygous. Alleles with a frequency > 5% (Table 2) in the combined groups have been boxed. The alleles increased in patients are boxed with a dashed line. Alleles decreased in patients are boxed with a solid line.

It is immediately clear that the AMD and controls have very different allele frequencies. For example, in AMD, there are increases in FH1 16, 18, 14, 10, 20 and 12 but decreases in 11, 13, 9 and 17. Note that the alleles increased in AMD are a set (10, 12, 14, 16, 18) reflecting a 4 base difference in length (see Table 1). By contrast, the decreased alleles belong to a different set (9, 11, 13, 17) also reflecting a 4 base difference in length, but offset by 2 bases as shown in Table 1. The exception, FH1 15, is considered further below.

The alternating pattern of frequency is illustrated in Fig. 2 where it can be seen that most alleles can be classified into one of the 2 groups provisionally designated susceptibility (S) or protective (P) alleles. Accordingly, each genotype could be assigned SS, SP or PP and in some cases SX, PX or XX where the effect of X on AMD susceptibility is unknown due to low allele frequency.

To test the validity of this approach we examined the *trans*-interactions between S and P alleles. Initially we excluded lower frequency alleles and selected the patients and controls with genotypes restricted to the P alleles (11, 13) and S alleles (12, 16, 20) which are most significantly increased or decreased as shown in Table 2 and Fig. 3. Seventeen of the 21 controls have PP or PS. None of the patients has PP. Note the PP, PS, SS distributions of 0.29, 0.52, 0.19 in controls and 0, 0.37, 0.63 in AMD. These results suggest that P alleles are indeed protective when homozygous and that S alleles are at least additive.

Very similar results were obtained when the 12 most frequent alleles were included in the analysis (Fig. 4). The P alleles (9, 11, 13, 17) and S alleles (8, 10, 12, 14, 15, 16, 18, 20) gave PP, PS, SS distributions of 0.28, 0.47, 0.24 in controls and 0.02, 0.37, 0.61 in AMD. Only 3 of 137 patients are homozygous PP.

The classification of lower frequency alleles given in Table 1 and Fig. 2 is provisional but, when based on the odd and even rule, the results are again similar with a maximal estimate for PP of 0.06 in AMD. In controls, the gene frequencies of P and S again approximate 0.5 in Hardy Weinberg Equilibrium.

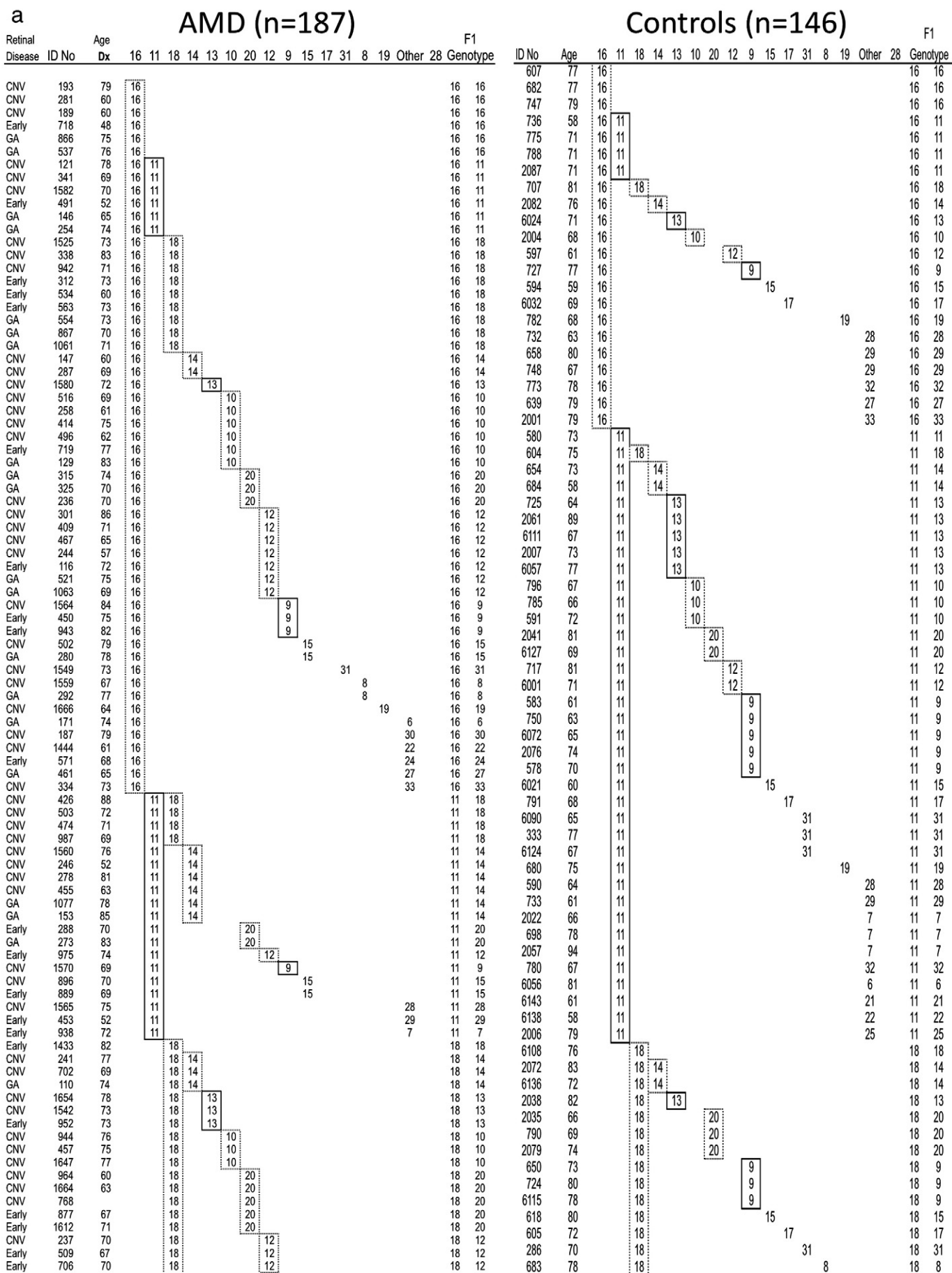
2.2. Other loci define S and P haplotypes

Since it is known that the T1277C mutation is associated with AMD and located close to the FH1 locus, we examined the relationship between FH1 alleles and 1277 alleles. All of the S alleles (such as 10, 12, 14, 16, 18) carry C, whereas P alleles (9, 11, 13, 17) carry T. Interestingly, FH1 15 also has a T although it is increased rather than decreased in AMD and therefore cannot be classified as a P allele. In fact, 8 of the 18 AMD patients with the TT genotype have FH1 15, confirming that this haplotype is distinctive. This finding suggests that neither the FH1 nor the 1277 locus is directly involved in susceptibility or protection: alleles may merely be imperfect markers in linkage disequilibrium with other components on critical haplotypes.

In Fig. 5, the haplotypes including FH1 15 and T1277C are shown. Note that 8 of the patients have TT confirming that TT is not protective in the presence of FH1 15. There are numerous potential haplotypes when the −45 and +128 loci are included. Note the frequencies of −45 6 (8/19 v 2/11) and +128 2 (11/19 v 3/11) alleles are the principal differences between AMD and controls suggesting that the −45 and +128 loci may be as important as the FH1 or 1277 loci in marking the critical factors involved in protection and susceptibility to AMD. If so, it is important to define the haplotypes rather than alleles at any single locus.

In a previous study based on 3 generation families [22], 10 ancestral haplotypes of −45, 1277, FH1 and +128 were identified including 5, T, 15, 1. As shown in Fig. 5, the patients have 6, T, 15, 1 or 5, T, 15, 2 rather than 5, T, 15, 1, suggesting that recombination

Fig. 1. Genotypes of all individuals in the study represented as a percentile database. Alleles are listed on the top row. Subjects are listed in approximate order of the gene frequency (when the 2 groups are combined). Patients with AMD are listed on the left together with the clinical type and age. Controls are shown on the right. Most subjects have 2 different alleles; those with only one are assumed to be homozygous. Alleles with a frequency > 5% (Table 2) in the combined groups have been boxed. The alleles increased in patients are boxed with a dashed line. Alleles decreased in patients are boxed with a solid line.



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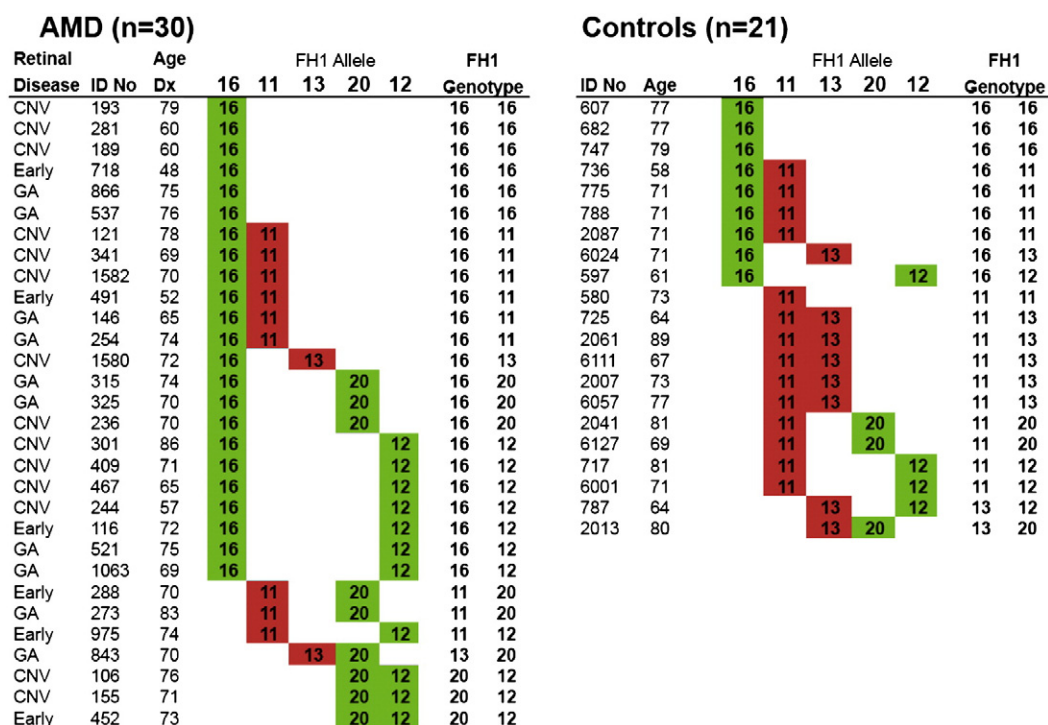


Fig. 2. The allele frequencies (%) of the common FH1 alleles in AMD patients ($n = 187$) and controls ($n = 146$). Alleles designated susceptible are shown in green and protective alleles are shown in red. The unbroken line represents the combined (patients and controls) allele frequency.

centromeric or telomeric of CFH is responsible for the increased frequencies of $-45\ 6$ and $+128\ 2$ in disease and for susceptibility rather than protection. Note that $-45\ 6$, in the absence of T, 15, is not associated with disease, therefore implying that there are *cis*-interactions with unidentified sequences marked by $-45\ 6$.

2.3. AMD and diabetes

In a subset of the patients with AMD ($n = 46$), the presence or absence of type 2 diabetes (T2D) was known. The frequencies of FH1 in these patients are given in Fig. 6 which suggests that FH1 P alleles such as 9 and 11 may not be protective for AMD in the presence of diabetes. As expected, the frequencies in AMD patients without diabetes are very similar to the total AMD group.

Table 2

The F1 allele defines haplotypes with different levels of protection/susceptibility for AMD. Only F1 alleles with frequencies $>5\%$ in the combined groups are shown.

F1 allele	Controls $2n^{\dagger} = 292$	AMD $2n^{\dagger} = 374$	Combined groups freq (%)	Odds ratio	p^{\ddagger}
12	7	30	5.56	3.55	0.002
20	10	27	5.56	2.19	0.04
16	25	61	12.91	2.08	0.003
10	12	25	5.56	1.67	0.174
14	14	28	6.31	1.61	0.199
18	24	44	10.21	1.49	0.156
9	19	17	5.41	0.68	0.302
11	42	25	10.06	0.43	0.001
13	27	13	6.01	0.35	0.003

$2n^{\dagger}$ = Number of haplotypes.

\ddagger Fisher's exact 2-tailed probability.

2.4. Clinical utility of FH1 and T1277C in excluding AMD

There have been suggestions that homozygous TT at 1277 may be helpful in excluding AMD. However, in our current data set, the negative predictive value of TT is too low to permit critical clinical decisions. The benefit of PP over TT as an excluding test is largely due to the fact that the 6 TT genotypes including FH1 15 become PS (see Fig. 4).

The present results argue for the existence of RCA beta haplotypes which carry the sequences responsible for protection or susceptibility to AMD. No single locus, including 1277, should be assumed to be directly responsible.

It is always hazardous to assign protection and susceptibility alleles to a single locus since increased frequency of some alleles must be compensated by reductions in others. In the present study, however, we are confident that there are fundamental differences between S and P haplotypes because, with the exception of FH1 15:

1. The distribution of SS, SP and PP in disease is not a simple function of frequency.
2. *Trans*-interactions between S and P haplotypes are clearly powerful, with S alleles dominating over P, although undoubtedly complex.

In general, we are reluctant to ascribe significance to HW distortion in disease since this often reflects an inability to detect heterozygotes. This is not the case in the present study.

Furthermore, with the exception of FH 15:

1. S and P alleles relate to sets determined by amplicon length.
2. S and P alleles relate to T1277C

3. Conclusions

Accordingly, we conclude that there are critical sequences in the vicinity of the 1.7 Mb region between -45 to $+128$ and including

AMD (n=30)										Controls (n=21)									
Retinal		Age	FH1 Allele					FH1			FH1 Allele		FH1						
Disease	ID No	Dx	16	11	13	20	12	Genotype	ID No	Age	16	11	13	20	12	Genotype			
CNV	193	79	16					16 16	607	77	16					16 16			
CNV	281	60	16					16 16	682	77	16					16 16			
CNV	189	60	16					16 16	747	79	16					16 16			
Early	718	48	16					16 16	736	58	16	11				16 11			
GA	866	75	16					16 16	775	71	16	11				16 11			
GA	537	76	16					16 16	788	71	16	11				16 11			
CNV	121	78	16	11				16 11	2087	71	16	11				16 11			
CNV	341	69	16	11				16 11	6024	71	16		13			16 13			
CNV	1582	70	16	11				16 11	597	61	16				12	16 12			
Early	491	52	16	11				16 11	580	73		11				11 11			
GA	146	65	16	11				16 11	725	64		11	13			11 13			
GA	254	74	16	11				16 11	2061	89		11	13			11 13			
CNV	1580	72	16		13			16 13	6111	67		11	13			11 13			
GA	315	74	16			20		16 20	2007	73		11	13			11 13			
GA	325	70	16			20		16 20	6057	77		11	13			11 13			
CNV	236	70	16			20		16 20	2041	81		11		20		11 20			
CNV	301	86	16				12	16 12	6127	69		11		20		11 20			
CNV	409	71	16				12	16 12	717	81		11			12	11 12			
CNV	467	65	16				12	16 12	6001	71		11			12	11 12			
CNV	244	57	16				12	16 12	787	64			13		12	13 12			
Early	116	72	16				12	16 12	2013	80			13	20		13 20			
GA	521	75	16				12	16 12											
GA	1063	69	16				12	16 12											
Early	288	70		11		20		11 20											
GA	273	83		11		20		11 20											
Early	975	74		11			12	11 12											
GA	843	70			13	20		13 20											
CNV	106	76				20	12	20 12											
CNV	155	71				20	12	20 12											
Early	452	73				20	12	20 12											

Fig. 3. FH1 genotype distribution in a subset of AMD and control individuals that carry combinations of common alleles that show statistically significant, positive or negative, association with AMD. Susceptible alleles are shown in green. Protective alleles are in red.

CFH and we postulate that there are multiple *cis*-interactions to explain the current results.

These sequences profoundly influence susceptibility to AMD. Subjects with PP genotypes such as 11, 13 have a very low risk of disease and sufficiently low to suggest that genetic typing may be useful clinically. For the first time it becomes possible to assign meaningful predictive values or risks to particular genotypes. We anticipate that further characterisation of RCA beta haplotypes will enhance clinical utility.

Previously, we compared the sequences amplified from FH1 16 and 17 homozygotes and found that 16 and other S haplotypes had a deletion of 2 bp plus multiple substitutions representing an SNP rate of some 5% [22]. The 2 bp indel is found in sequences with a T at 1277 and appears to have arisen contemporaneously during primate evolution. The length differences are largely a function of the number of copies of diverse C and T rich tetramers inserted and deleted on either side of the 2 bp indel. There are also more extensive indels associated with S and P haplotypes.

Future studies will quantify the role and interactions between haplotypes, examine the effect of more extensive RCA beta haplotypes on therapeutic responses and perhaps assist in determining which cases require early treatment.

In parallel with improved genetic typing, it will be valuable to include other risk factors which may overcome the protective effect of the PP genotype. A possible example is provided in the present data; diabetes may predispose to AMD in PP subjects, but further studies are required to confirm these results and determine whether the effect is due to diabetes *per se* or further genetic interactions.

As argued here, the mechanism of disease protection is probably quantitative and regulatory, since certain haplotypes are associated with greater or lesser degrees of inflammation and vascular injury. However qualitative changes in CFH itself may also be important. It seems likely that other products encoded in the region and in other

regions, such as the MHC, may also contribute. In these regards, AMD will serve as a useful model for dissecting the contributions to polygenic diseases.

4. Materials and methods

4.1. Strategy

The intention is to determine the coding and noncoding sequences which together determine susceptibility to, or protection from, a polygenic disease. This resolves into the following steps.

Firstly, it is necessary to define multiple linked polymorphisms, and particularly those which have numerous alleles, which can be detected reliably. Combinations of alleles at contiguous loci allow the recognition of candidate haplotypes. To have any value in studying disease and control populations, these combinations must be observed rather than inferred [24] and must be maintained through successive matings. Operationally, an ancestral haplotype is defined as a combination of alleles which occurs:

1. as half the content of at least 3 different genotypes defined in a family study including at least 3 generations,
2. in at least 3 subjects not likely to be related by recent descent.

In such cases, it has been found that the entire sequence between and beyond the component loci is identical, with the important exception that some rapidly mutating microsatellites will exhibit slippage. Thus the haplotype marks indels, CNVs, stable microsatellites and SNPs and can be numbered generally by reference to the component allele at the most polymorphic locus, with a second digit to reflect the order of discovery e.g. MHC AH8.1 [25].

Secondly, the extent of the haplotype is determined by locating the boundaries defined by recombination. As multiple examples are accumulated, it is often possible to identify rare recombinants between

AMD (n=137)																Controls (n=74)																		
Retinal Disease		ID	Age													FH1		ID		Age													FH1	
Disease	No	Dx	16	11	18	14	13	10	20	12	9	15	17	8	Genotype	No	Age	16	11	18	14	13	10	20	12	9	15	17	8	Genotype				
CNV	193	79	16												16	16	607	77	16										16	16				
CNV	189	60	16												16	16	682	77	16										16	16				
CNV	281	60	16												16	16	747	79	16										16	16				
Early	718	48	16												16	16	707	81	16		18								16	18				
GA	866	75	16												16	16	2082	76	16		14								16	14				
GA	537	76	16												16	16	2004	68	16				10						16	10				
CNV	1525	73	16		18										16	18	597	61	16					12					16	12				
CNV	338	83	16												16	18	594	59	16							15			16	15				
CNV	942	71	16												16	18	6108	76		18									18	18				
Early	312	73	16												16	18	2072	83		18	14								18	14				
Early	534	60	16												16	18	6136	72		18	14								18	14				
Early	563	73	16												16	18	2035	66		18				20					18	20				
GA	554	73	16												16	18	790	69		18				20					18	20				
GA	867	70	16												16	18	2079	74		18				20					18	20				
GA	1061	71	16												16	18	618	80		18									18	15				
CNV	147	60	16												16	14	683	78		18								8	18	8				
CNV	287	69	16												16	14	6128	76			14							8	14	8				
CNV	516	69	16												16	10	632	75					10					15	10	15				
CNV	258	61	16												16	10	6009	61						20				15	20	15				
CNV	414	75	16												16	10	586	66						20				15	20	15				
CNV	496	62	16												16	10	736	58	16	11									16	11				
Early	719	77	16												16	10	775	71	16	11									16	11				
GA	129	83	16												16	10	788	71	16	11									16	11				
GA	315	74	16												16	20	2087	71	16	11									16	11				
GA	325	70	16												16	20	6024	71	16				13						16	13				
CNV	236	70	16												16	20	727	77	16							9			16	9				
CNV	301	86	16												16	12	6032	69	16										16	17				
CNV	409	71	16												16	12	604	75		11	18								11	18				
CNV	467	65	16												16	12	654	73		11		14							11	14				
CNV	244	57	16												16	12	684	58		11		14							11	14				
Early	116	72	16												16	12	796	67		11				10					11	10				
GA	521	75	16												16	12	785	66		11				10					11	10				
GA	1063	69	16												16	12	591	72		11				10					11	10				
CNV	502	79	16												16	15	2041	81		11				20					11	20				
GA	280	78	16												16	15	6127	69		11				20					11	20				
CNV	1559	67	16												16	8	717	81		11				12					11	12				
GA	292	77	16												16	8	6001	71		11				12					11	12				
Early	1433	82													18	18	6021	60		11									11	15				
CNV	241	77													18	14	2038	82		18									18	13				
CNV	702	69													18	14	650	73		18									18	9				
GA	110	74													18	14	724	80		18									18	9				
CNV	944	76													18	10	6115	78		18									18	9				
CNV	457	75													18	10	605	72		18									18	17				
CNV	1647	77													18	10	730	77			14	13							14	13				
CNV	964	60													18	20	681	78			14								14	9				
CNV	1664	63													18	20	627	79			14								14	17				
CNV	768	70													18	20	6067	85			13								13	13				
Early	877	67													18	20	783	72			13	10							13	10				
Early	1612	71													18	20	2049	82			13	10							13	10				
CNV	237	70													18	12	795	67			13	10							13	10				
Early	509	67													18	12	2013	80			13		20						13	20				
Early	706	70													18	12	787	64			13			12					13	12				
CNV	173	79													18	15	677	75			13								13	15				
CNV	339	80													18	15	708	70				10							10	9				
Early	493	62													18	15	6109	87					20						20	17				
Early	951	85													18	8	2088	77											9	8				
Early	1547	65													18	8	580	73			11								11	11				
GA	894	62													18	8	725	64			11								11	13				
CNV	249	72													18	14	2061	89			11								11	13				
CNV	1540	90													18	10	6111	67		</														

Retinal AMD		Controls				
Disease	ID No	-45	1277	FH1	+128	
Early	1429	3	7	T	C	15 20 2 1
CNV	502	3	2	T	C	15 16 2 1
CNV	339	3	3	T	C	15 18
CNV	1523	3	1	T	T	15 17 1 3
Early	889	4	7	T	T	15 11 1 2
CNV	1557	4	8	T	T	15 13 1 1
CNV	947	5	9	T	T	15 15 2 1
GA	1541	5	4	T	T	15 15 2 1
Early	493	5	8	T	C	15 18 2 2
CNV	743	5	8	T	C	15 20 2 2
Early	500	5	4	T	C	15 8 2 2
CNV	173	6	2	T	C	15 18 1 2
CNV	1059	6	3	T	C	15 10 1 2
CNV	767	6	8	T	T	15 9 1 2
GA	280	6	4	T	C	15 16 1 1
CNV	868	6	8	T	C	15 22 1 1
CNV	1550	6	1	T	T	15 9 1 1
CNV	896	6	6	T	T	15 11 1 1
Early	673	6	1			15 14 1 1

Fig. 4. Genotype distribution of FH1 alleles in those patients and controls that carry the 12 most frequent alleles. Susceptible alleles are shown in green. Protective alleles are shown in red. Subjects are sorted by allele frequencies within SS, SP and PP genotypes.

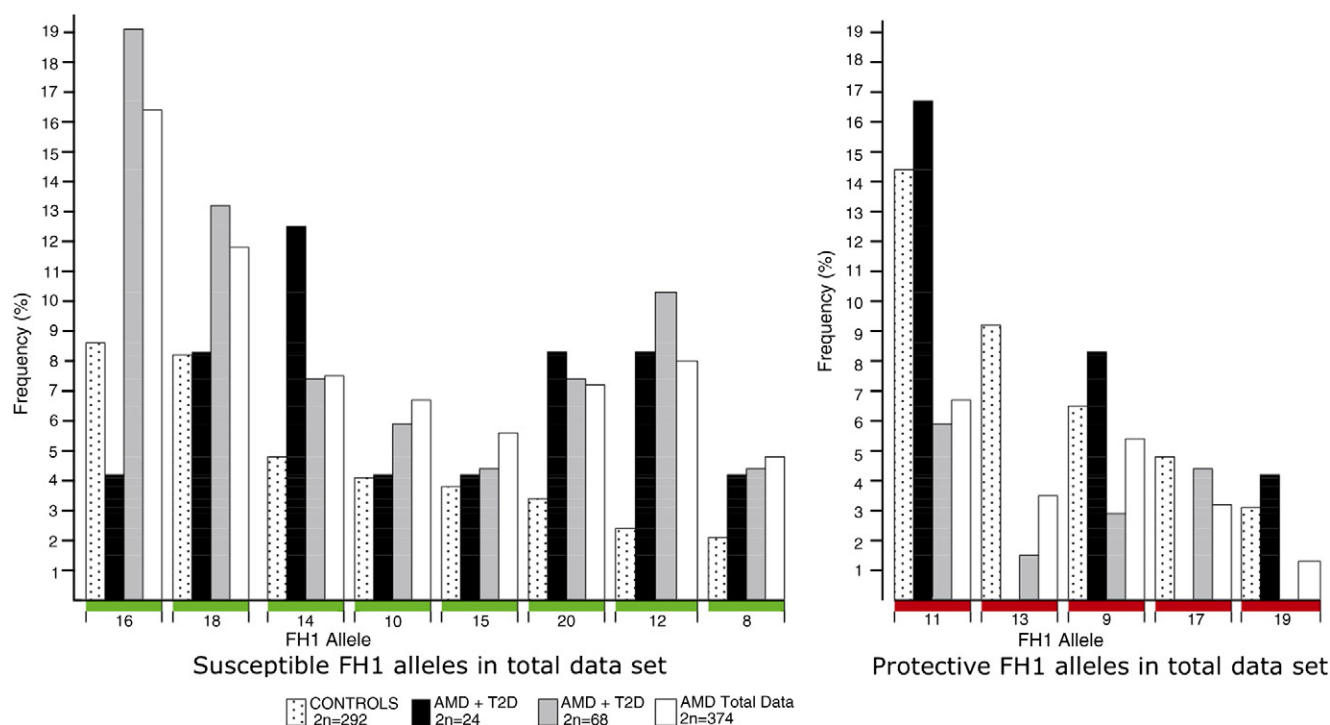


Fig. 6. Frequency distribution of FH1 alleles in AMD patients with and without diabetes shown in comparison with the total AMD patient and controls. T2D = Type 2 diabetes.

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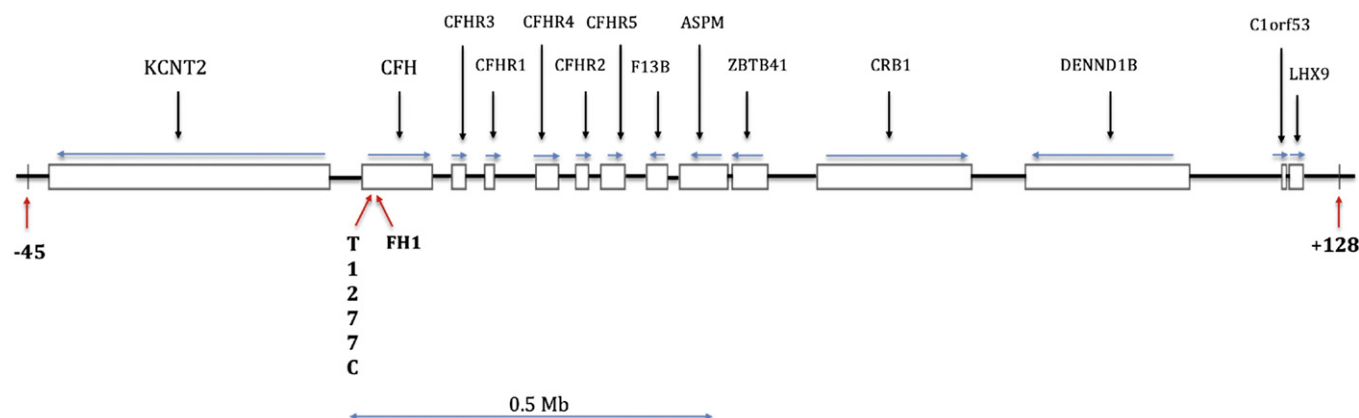


Fig. 7. Map of the location and distribution of genes and markers on chromosome 1q 31–32 RCA β (–196,114,000–197,900,000). The location of the four markers used to define RCA β haplotypes are shown under the line. Boxes represent genes with names shown above the line. KCNT2: potassium channel, subfamily T, member 2; CFH: complement factor H; CFHR1–5: complement factor H related genes; F13B: coagulation factor XIII B; ASPM: abnormal spindle (asp) homologue; ZBTB41: zinc finger and BTB domain containing 41 homologue; CRB1: crumbs homologue 1; DENND1B: DENN/MADD domain containing 1B; C1orf53: chromosome 1 open reading frame 53; LHX9: LIM homeobox 9. Gene names and sequences were obtained from NCBI RefSeq Build 37.2 (<http://www.ncbi.nlm.nih.gov/>). Horizontal arrows indicate direction of transcription.

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